FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number 208.6122 208.6122DV	Serial No. To be assigned 10/801,367
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)	Applicants: Schwindeman et al.	
	Filing Date: Concurrently herewith February 1, 2002	Group 1714

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	4473686	09/25/84	Grigo et al.	525	184	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes / No
	3.	WO 9706192	08/20/97	WIPO	C08F	236/10	X
	4.	WO 9802465	01/22/98	WIPO	C08F	19/44	X
	5.	WO 0032645	06/08/00	WIPO	C08F	8/00	X
	6.	EP 1057808	12/06/00	EPO.	C07C	323/12	X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	7.	Borer, Bennett C. and Richard J.K. Taylor. "Trimethyl 4-Lithioorthobutanoate: Preparation and Synthetic Applications" Letters October:601-602 (1990).
	8.	Cabrera, Gustavo et al. "Triisobutylaluminum (TIBA) as a reagent to convert 2,2-dimethoxyalkanes to 2-methoxy-1-alkenes" Tetrahedron Letters 42:5867-5869 (2001).
	9.	Chen, Ling-Ching and Huey-Min Wang "De(monothio)actealization Induced by Hypervalent Iodine and Sodium Iodide" OPPI Briefs 31(5):562-564 (1999).
	10.	Feng, Xiangdong and Mathias O. Senge. "An efficient synthesis of highly functionalized asymmetric porphyrins with organolithium reagents" Journal of the Chemical Society, Perkins Transactions 1 1030-1038 (2001).
	11.	Huang, Jui-Wen et al. "Magnesium Bromide Promoted Barbier-Type Intramolecular Cyclization of Halo-Substituted Acetals, Ketals, and Orthoesters" Tetrahedron Letters 40:8647-8650 (1999).
	12.	International Search Report corresponding to PCT/US02/02963 mailed on October 31, 2002.
	13.	Irie, Osamu et al. "Further Studies on Total Synthesis of Sarain A. Efforts Toward Annulation of the Macrocyclic Rings" Journal of Organic Chemistry 64:587-595 (1999).
	14.	Iwabuchi, Y. et al. "An enantio- and stereocontrolled synthesis of (-)-mycestericin E *via cinchona* alkaloid-catalyzed asymmetric Baylis-Hillman reaction" Chemical Communications 2032-2031 (2001).
	15.	Noda, Yoshihiro and Hitoshi Kashin. "Synthesis of Both Enantiomers of Four Different Macrocyclic Lactones" Heterocycles 48(1):5-10 (1998).

RECEIVED
NOV 27 2002
TC 1700

BEST AVAILABLE COPY

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)	Attorney Docket Number 208-6122DV	Serial No. ~~10/062,331~~ 10/801,367
	Applicants: Schwindeman et al.	
	Filing Date: ~~February 1, 2002~~ Concurrently herewith	Group ~~1714~~ 1713

	16.	Rowley, Michael et al. "4-Heterocyclylpiperidines as Selective High-Affinity Ligands a the Human Dopamine D4 Receptor" Journal of Medicinal Chemistry 40:2374-2385 (1997).
	17.	XP 002213730 Database: Section Ch, Week 199227. Derwent Publications Ltd.: London, Great Britian. May 22, 1992.

RECEIVED
NOV 27 2002
TC 1700

11/19/03

6/28/04

BEST AVAILABLE COPY

10/801,367

No. 1.5

Substitute for form 1449A/PTO
(Revised 10/2001)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	To be assigned
Filing Date	Concurrently herewith
First Named Inventor	Schwindeman et al.
Group Art Unit	1713
Examiner Name	H. Pezzuto
Attorney Docket Number	~~30208.243055~~ 208.61220V

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number: Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document: Country Code - Number, Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	1	A. HIRAO, H. NAGAHAMA, T. ISHIZONE, S. NAKAHAMA, *Synthesis of Polymers with Carboxy End Groups by Reaction of Polystyryl Anions with 4-Bromo-1,1,1-trimethoxybutane*, Macromolecules, Vol. 26, No. 9, pp. 2145-2150, April 26, 1993.	
	2	B. BORER, R. TAYLOR, *Trimethyl 4-Lithioorthobutanoate: Preparation and Synthetic Applications*, Tetrahedron Letters, pp. 601-602, October 1990.	
	3	M. LABEAU, H. CRAMAIL, A. DEFFIEUX, *End-functionalization of Polystyryl and Polybutadienyl Lithium by Chloroalkyl Derivatives Bearing Oxygen Atoms*, Polymer International, Vol. 41, No. 4, pp. 453-462, 1996.	

Examiner Signature		Date Considered	11/17/03

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

6/28/04

CLT01/4517895v1

BEST AVAILABLE COPY